

05-CR-00360-PLAGR

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT SEATTLE

UNITED STATES OF AMERICA,

Plaintiff,

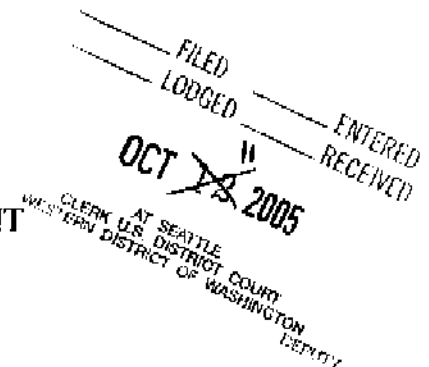
v.

DONALD SHULL,

Defendant.

NO. CR05-360Z

PLEA AGREEMENT



The United States of America, by and through John McKay, United States Attorney for the Western District of Washington, and Todd Greenberg, Assistant United States Attorney, and Defendant, DONALD SHULL, and his attorney, Stewart P. Riley, enter into the following Agreement, pursuant to Federal Rule of Criminal Procedure 11(c):

1. Waiver of Indictment. Defendant, having been advised of the right to be charged by Indictment, agrees to waive that right and enter a plea of guilty to the charge brought by the United States Attorney in an Information.

2. The Charge. Defendant, having been advised of the right to have this matter tried before a jury, agrees to waive that right and enter a plea of guilty to the following charge contained in the Information. By entering this plea of guilty, Defendant hereby waives all objections to the form of the charging document. Defendant further understands that before entering his plea of guilty, Defendant will be placed under oath. Any statement given by Defendant under oath may be used by the government in a prosecution for perjury or false statement.

1 a. Conspiracy to Violate the Export Administration Act, in violation of  
2 Title 50, United States Code App., Section 2410(a), as charged in Count 1 of the  
3 Information.

4 3. Elements of the Offense. The elements of the offense of Conspiracy to  
5 Violate the Export Administration Act, in violation of Title 50, United States Code App.,  
6 Section 2410(a), as charged in Count 1 of the Information, are as follows:

7 First, the defendant agreed with at least one other person to knowingly  
8 export, attempt to export, or cause to be exported from the United States articles listed on  
9 the U.S. Commodity Control List, or other controlled articles, without having obtained a  
10 license or other authorization for the exportation from the U.S. Department of Commerce;  
11 and

12 Second, the defendant became a member of the conspiracy knowing of at  
13 least one of its objects and intending to help accomplish it.

14 4. The Penalties.

15 a. Defendant understands that the statutory penalties for the offense of  
16 Conspiracy to Violate the Export Administration Act, in violation of Title 50, United  
17 States Code App., Section 2410(a), as charged in Count 1 of the Information, are as  
18 follows: Imprisonment for up to five (5) years, a fine of up to \$50,000.00, a period of  
19 supervision following release from prison of up to three (3) years, and a \$100.00 penalty  
20 assessment. Defendant agrees that the penalty assessment shall be paid at or before the  
21 time of sentencing.

22 b. Defendant understands that in addition to any term of imprisonment  
23 and/or fine that is imposed, the Court may order Defendant to pay restitution to any victim  
24 of the offense, as required by law. Defendant further understands that a consequence of  
25 pleading guilty may include the forfeiture of certain property, either as a part of the  
26 sentence imposed by the Court, or as a result of civil judicial or administrative process.

1 c. Defendant agrees that any monetary penalty the Court imposes, including  
2 the special assessment, fine, costs or restitution, is due and payable immediately, and  
3 further agrees to submit a completed Financial Statement of Debtor form as requested by  
4 the United States Attorney's Office.

5 d. Defendant understands that supervised release is a period of time  
6 following imprisonment during which he will be subject to certain restrictions and  
7 requirements. Defendant further understands that if supervised release is imposed and he  
8 violates one or more of its conditions, he could be returned to prison for all or part of the  
9 term of supervised release that was originally imposed.

10 5. Rights Waived by Pleading Guilty. Defendant understands that, by pleading  
11 guilty, he knowingly and voluntarily waives the following rights:

12 a. The right to plead not guilty, and to persist in a plea of not guilty;

13 b. The right to a speedy and public trial before a jury of Defendant's  
14 peers;

15 c. The right to the effective assistance of counsel at trial, including, if  
16 Defendant could not afford an attorney, the right to have the Court appoint one for  
17 Defendant;

18 d. The right to be presumed innocent until guilt has been established at  
19 trial, beyond a reasonable doubt;

20 e. The right to confront and cross-examine witnesses against Defendant  
21 at trial;

22 f. The right to compel or subpoena witnesses to appear on Defendant's  
23 behalf at trial;

24 g. The right to testify or to remain silent at trial, at which trial such  
25 silence could not be used against Defendant; and

26 h. The right to appeal a finding of guilt or any pretrial rulings.  
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1       6.   United States Sentencing Guidelines. Defendant understands and  
2 acknowledges that, at sentencing, the Court must consider the sentencing range calculated  
3 under the United States Sentencing Guidelines, together with the other factors set forth in  
4 Title 18, United States Code, Section 3553(a), including: (1) the nature and circumstances  
5 and of the offense; (2) the history and characteristics of the defendant; (3) the need for the  
6 sentence to reflect the seriousness of the offense, to promote respect for the law, and to  
7 provide just punishment for the offense; (4) the need for the sentence to afford adequate  
8 deterrence to criminal conduct; (5) the need for the sentence to protect the public from  
9 further crimes of the defendant; (6) the need to provide the defendant with educational and  
10 vocational training, medical care, or other correctional treatment in the most effective  
11 manner; (7) the kinds of sentences available; (8) the need to provide restitution to victims;  
12 and (9) the need to avoid unwarranted sentence disparity among defendants involved in  
13 similar conduct who have similar records. Accordingly, Defendant understands and  
14 acknowledges that:

15           a.    The Court will determine Defendant's applicable Sentencing  
16 Guidelines range at the time of sentencing;

17           b.    After consideration of the Sentencing Guidelines and the other factors  
18 in 18 U.S.C. 3553(a), the Court may impose any sentence authorized by law, up to the  
19 maximum term authorized by law;

20           c.    The Court is not bound by any recommendation regarding the  
21 sentence to be imposed, or by any calculation or estimation of the Sentencing Guidelines  
22 range offered by the parties, or by the United States Probation Department; and

23           d.    Defendant may not withdraw a guilty plea solely because of the  
24 sentence imposed by the Court.

25       7.   Ultimate Sentence. Defendant acknowledges that no one has promised or  
26 guaranteed what sentence the Court will impose.

1       8. Statement of Facts. The parties agree on the following facts in support of  
2 Defendant's guilty plea and sentencing. Defendant admits he is guilty of the charged  
3 offense.

4           a. Beginning in or before 2002, and continuing through August 5, 2003,  
5 Howard Hsy and an unindicted coconspirator in Taiwan agreed to export from the United  
6 States articles listed on the U.S. Commodity Control List, and other export-controlled  
7 technology, without obtaining export licenses from the U.S. Government as required by  
8 law. Some of the articles involved in the conspiracy were listed on the U.S. Commodity  
9 Control List, including Zinc Selenide (Zn Se) compound. Other articles involved in the  
10 conspiracy were also export controlled, including, but not limited to: Imaging Systems  
11 F4949 "Generation III" night-vision goggles; HGV 55/P Helmet Mount Assemblies (for  
12 use with Generation III" night-vision goggles); and Nightmate CCTV Adapter  
13 "Generation III" night-vision camera lenses.

14           b. During the course of this conspiracy, Donald Shull agreed to take actions  
15 designed to further the objectives of the conspiracy. For example, in July 2003, Donald  
16 Shull and Howard Hsy discussed the exportation of night-vision goggles. Shull had  
17 previously acquired two night-vision goggles from the manufacturer, and was in the  
18 process of attempting to order a larger quantity. Shull believed that Hsy would attempt to  
19 export the two goggles already acquired, knowing that the U.S. Government had not  
20 authorized such an exportation. Shull was investigating obtaining an export license if a  
21 larger quantity was going to be exported. Ultimately, a larger quantity of goggles was not  
22 exported, in part because Howard Hsy was arrested prior to the acquisition of the larger  
23 quantity of goggles. Shull and Hsy had similar discussions regarding other export  
24 controlled items.

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1       9. Sentencing Factors. The parties agree and stipulate that the following  
2 Sentencing Guidelines provisions apply to this case:

3           a. A base offense level of 14, pursuant to USSG § 2M5.1(a)(2).

4           b. A two-level downward adjustment because the defendant was a minor  
5 participant in the criminal activity, pursuant to USSG § 3B1.2(b).

6           c. The United States acknowledges that Defendant has assisted the United  
7 States by timely notifying the authorities of his intention to plead guilty, thereby permitting  
8 the United States to avoid preparing for trial and permitting the Court to allocate its  
9 resources efficiently. If at the time of sentencing, the United States remains satisfied that  
10 Defendant has accepted responsibility, then it will recommend a sentence that takes this  
11 acceptance of responsibility into consideration. Defendant understands and agrees that the  
12 United States will base its recommendation on factors set forth in the United States  
13 Sentencing Guidelines, including Section 3E1.1.

14           d. The parties agree that, with the exception of those listed above, no other  
15 upward or downward adjustments to the Defendant's offense level are applicable.

16       10. Joint Sentencing Recommendation. The parties agree to recommend that the  
17 Court impose a term of probation of two years, a fine of \$5,000, and a \$100 special  
18 assessment. The defendant acknowledges that this recommendation is not binding on the  
19 Court, and that the defendant may not withdraw the guilty plea solely because the Court  
20 does not follow the parties' recommendation.

21       11. Non-Prosecution of Additional Offenses. As part of this Plea Agreement,  
22 the United States Attorney's Office for the Western District of Washington agrees not to  
23 prosecute Defendant for any additional offenses known to it as of the time of this  
24 Agreement that are based upon evidence in its possession at this time, or that arise out of  
25 the conduct giving rise to this investigation. In this regard, Defendant recognizes that the  
26 United States has agreed not to prosecute all of the criminal charges that the evidence  
27 establishes were committed by Defendant solely because of the promises made by  
28 Defendant in this Agreement. Defendant acknowledges and agrees, however, that for

1 purposes of preparing the Presentence Report, the United States Attorney's Office will  
 2 provide the United States Probation Office with evidence of all relevant conduct  
 3 committed by Defendant.

4 Defendant agrees and acknowledges that any charges to be dismissed before  
 5 or at the time of sentencing were substantially justified in light of the evidence available to  
 6 the United States, were not vexatious, frivolous or taken in bad faith, and do not provide  
 7 Defendant with a basis for any future claims under the "Hyde Amendment," Pub.L. No.  
 8 105-119(1997).

9 12. Post-Plea Conduct. Defendant understands that the terms of this Plea  
 10 Agreement apply only to conduct that occurred prior to the execution of this Agreement.  
 11 If, after the date of this Agreement, Defendant should engage in illegal conduct, or  
 12 conduct that is in violation of his/her conditions of release (examples of which include, but  
 13 are not limited to: obstruction of justice, failure to appear for a court proceeding, criminal  
 14 conduct while pending sentencing, and false statements to law enforcement agents, the  
 15 Pretrial Services Officer, Probation Officer or Court), the United States is free under this  
 16 Agreement to seek a sentence that takes such conduct into consideration. Such a sentence  
 17 could include, to the extent the United States Sentencing Guidelines are applicable, a  
 18 sentencing enhancement or upward departure.

19 13. Statute of Limitations. In the event that this Agreement is not accepted by  
 20 the Court for any reason, or Defendant has breached any of the terms of this Plea  
 21 Agreement, the statute of limitations shall be deemed to have been tolled from the date of  
 22 the Plea Agreement to: (1) 30 days following the date of non-acceptance of the Plea  
 23 Agreement by the Court; or (2) 30 days following the date on which a breach of the Plea  
 24 Agreement by Defendant is discovered by the United States Attorney's Office.


25 14. Voluntariness of Plea. Defendant acknowledges that he has entered into this  
 26 Plea Agreement freely and voluntarily, and that no threats or promises, other than the  
 27 promises contained in this Plea Agreement, were made to induce Defendant to enter this  
 28 plea of guilty.

15. Completeness of Agreement. The United States and Defendant acknowledge that these terms constitute the entire Plea Agreement between the parties. This Agreement only binds the United States Attorney's Office for the Western District of Washington. It does not bind any other United States Attorney's Office or any other office or agency of the United States, or any state or local prosecutor.


Dated this 11<sup>th</sup> day of October, 2005.

*Donald Shull*  
DONALD SHULL  
Defendant

Defendant



Stewart P. Riley  
Attorney for Defendant

  
Douglas B. Whalley  
Assistant United States Attorney

  
Todd Greenberg  
Assistant United States Attorney